

Formalization of Infinite Dimension Linear Spaces with Application to Quantum Theory

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Table of contents

- 1 Background
- 2 A Glance of the Application: Quantum Mechanics
- 3 Complex-valued Function Spaces
- 4 Application: Quantum Beam Splitter
- 5 Conclusion & Future Work

Outline

- 1 **Background**
- 2 A Glance of the Application: Quantum Mechanics
- 3 Complex-valued Function Spaces
- 4 Application: Quantum Beam Splitter
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Motivation

Linear algebra.



Bioinformatics



Digital Signal Processing



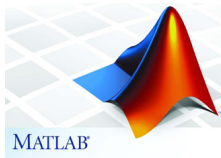
Control Systems: Robotics



Quantum Optics

Related Tools

Numerical



Computer Algebra Systems



Related work

- HOL Light

- ▶ J. Harrison 2005: Euclidean spaces \mathbb{R}^N .
- ▶ S. Khan Afshar, V. Aravantinos 2012: complex vector spaces \mathbb{C}^N .

→ finite dimension only

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- PVS 2012: Real vector spaces \mathbb{R}^N , with application in control theory.
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 - finite dimension only
- PVS 2012: Real vector spaces \mathbb{R}^N , with application in control theory.
 - finite dimension and real only
- Coq: an abstract development of some preliminary linear algebra.
 - not suitable for practical application,
(missing important notions, e.g, self-adjointness)

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Quantum Mechanics

In general (quantum or classic):

A physical system is described by a state
= collection of informations.

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Classical

- State = collection of real variables.

Quantum

- State = **complex-valued functions**.

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- State = collection of real variables.
- Measurement = deterministic.

Quantum

- State = **complex-valued functions**.
- Measurement = **statistical**.

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In general (quantum or classic):

A physical system is described by a state
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- State = collection of real variables.
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- Observables = real functions.

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- State = **complex-valued functions**.
- Measurement = **statistical**.
- Observables = **self-adjoint** operators.

Quantum Mechanics

In general (quantum or classic):

A physical system is described by a state
= collection of informations.

Classical

- State = collection of real variables.
- Measurement = deterministic.
- Observables = real functions.
- Interested in measured values themselves.

Quantum

- State = **complex-valued functions**.
- Measurement = **statistical**.
- Observables = **self-adjoint** operators.
- Interested in expectation = **eigenvalues**.

Formalization

A glance of the required notions:

Definition (Quantum Space)

$$\text{is_qspace } ((vs, \text{inprod}) : \text{qspace}) \Leftrightarrow$$

$$\text{is_subspace } vs \wedge \text{is_inner_product } \text{inprod}$$

Definition (Observable)

$$\text{is_observable } (op : \text{qstate} \rightarrow \text{qstate}) ((vs, \text{inprod}) : \text{qspace}) \Leftrightarrow$$

$$\text{is_qspace } (vs, \text{inprod}) \wedge \text{is_self_adjoint } op \text{ inprod} \wedge$$

$$\forall x. x \in vs \Rightarrow op \ x \in vs$$

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Complex-valued functions (1/2)

Definition (Complex functions type)

$\text{cfun} = A \rightarrow \text{complex}$

Definition (Algebraic operations over cfun)

Operation	Notation	Definition
<code>cfun_add</code>	$f_1 +_{\text{cfun}} f_2$	$\lambda x : A. f_1\ x +_{\mathbb{C}} f_2\ x$

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<code>cfun_add</code>	$f_1 +_{\text{cfun}} f_2$	$\lambda x : A. f_1 \ x +_{\mathbb{C}} f_2 \ x$
<code>cfun_smul</code>	$a \% f$	$\lambda x : A. a * f \ x$
<code>cfun_neg</code>	$-f$	$\lambda x : A. -1 \% (f \ x)$
<code>cfun_sub</code>	$f_1 - f_2$	$f_1 + -f_2$
<code>cfun_zero</code>		$\lambda x : A. 0$

Complex-valued functions (2/2)

Theorem (Complex functions are a vector space)

<i>Addition commutativity</i>	$x + y = y + x$
<i>Addition associativity</i>	$(x + y) + z = x + y + z$
<i>Left distributivity</i>	$a \% (x + y) = a \% x + a \% y$
<i>Identity element</i>	$x + \text{cfun_zero} = x$

+ tactic to automatize arithmetic reasoning: CFUN_ARITH_TAC.

→ allows to prove many other properties.

Operators over functions

Definition (Complex-function operators type)

$$\text{cop} = (A \rightarrow \text{complex}) \rightarrow (B \rightarrow \text{complex})$$

Definition (Algebraic operations on cop)

Operation	Notation	Definition
cop_mul	$\text{op}_1 ** \text{op}_2$	$\lambda f : A \rightarrow \text{complex}. \text{op}_1 (\text{op}_2 f)$

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<code>cop_mul</code>	<code>op₁ ** op₂</code>	$\lambda f : A \rightarrow \text{complex}. \text{op}_1 (\text{op}_2 f)$
<code>cop_add</code>	<code>op₁ +_{cop} op₂</code>	$\lambda f : A \rightarrow \text{complex}. \text{op}_1 f +_{\text{cfun}} \text{op}_2 f$
<code>cop_smul</code>	<code>a %_{cop} op</code>	$\lambda f : A \rightarrow \text{complex}. a \%_{\text{cfun}} \text{op} f$

and negation, zero, etc.

- `cop_mul` is **not** commutative.
- `COP_ARITH_TAC`.

Linearity

Definition

$\text{is_linear_cop } (\text{op} : \text{cop}) \Leftrightarrow$
 $\forall f \ g. \text{op } (f + g) = \text{op } f + \text{op } g \wedge \forall a. \text{op } (a \% f) = a \% (\text{op } f)$

Note: In finite dimension, linear operator are matrices.

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Note: In finite dimension, linear operator are matrices.

In general: $\text{op}_3 ** (\text{op}_1 + \text{op}_2) \neq \text{op}_3 ** \text{op}_1 + \text{op}_3 ** \text{op}_2$

But, for linear operators:

Theorem

$\forall \text{op}_1 \ \text{op}_2 \ \text{op}_3. \text{is_linear_cop } \text{op}_3 \Rightarrow$
 $\text{op}_3 ** (\text{op}_1 + \text{op}_2) = \text{op}_3 ** \text{op}_1 + \text{op}_3 ** \text{op}_2$

Linearity (composition)

Composition relations:

Theorem

$$\forall \text{op}_1 \text{ op}_2. \text{is_linear_cop } \text{op}_1 \wedge \text{is_linear_cop } \text{op}_2 \Rightarrow \\ \text{is_linear_cop } (\text{op}_1 + \text{op}_2) \wedge \text{is_linear_cop } (\text{op}_1 * \text{op}_2) \wedge \\ \text{is_linear_cop } (\text{op}_2 - \text{op}_1) \wedge \forall a. \text{is_linear_cop } (a \% \text{op}_1)$$

+ tactic to automatize the proof that a function is linear:

LINEARITY_TAC.

Note: **Interaction-oriented** tactic

Inner Product: Definition

Definition

$\text{is_inprod} (\text{inprod} : \text{cfun} \rightarrow \text{cfun} \rightarrow \text{complex}) \Leftrightarrow$
 $\forall x y z.$
 $\text{cnj} (\text{inprod } y x) = \text{inprod } x y \wedge$

Inner Product: Definition

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 $\text{inprod } (x + y) z = \text{inprod } x z + \text{inprod } y z \wedge$

Inner Product: Definition

Definition

`is_inprod (inprod : cfun → cfun → complex) ⇔`

`∀ x y z.`

`cnj (inprod y x) = inprod x y ∧`

`inprod (x + y) z = inprod x z + inprod y z ∧`

`real (inprod x x) ∧ 0 ≤ real_of_complex (inprod x x) ∧`

Inner Product: Definition

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`real (inprod x x) ∧ 0 ≤ real_of_complex (inprod x x) ∧`

`(inprod x x = 0 ⇔ x = cfun_zero) ∧`

Inner Product: Definition

Definition

```

is_inprod (inprod : cfun → cfun → complex) ⇔
  ∀ x y z.
    conj (inprod y x) = inprod x y ∧
    inprod (x + y) z = inprod x z + inprod y z ∧
    real (inprod x x) ∧ 0 ≤ real_of_complex (inprod x x) ∧
    (inprod x x = 0 ⇔ x = cfun_zero) ∧
    ∀ a. inprod x (a % y) = a * (inprod x y)
  
```

Note: **axiomatic** definition, because it depends on the type

Inner Product: Properties

Many theorems, notably:

- Orthogonal projection
- Injectivity of inner product seen as a curried function
- Pythagorean Theorem
- Cauchy-Schwarz inequality

Other notions

- Eigenvalues and eigenvectors
- Orthogonality
- Hermitian adjoint
- Self-adjoint
- + tactics

Other notions

- Eigenvalues and eigenvectors
- Orthogonality
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A theorem making use of all these notions:

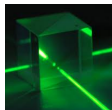
Theorem

$$\begin{aligned} &\forall \text{ inprod op } f_1 f_2 z_1 z_2. \\ &\quad \text{is_inprod inprod} \wedge \\ &\quad \text{is_self_adjoint op inprod} \wedge z_1 \neq z_2 \wedge \\ &\quad \text{is_eigen_pair op } (f_1, z_1) \wedge \text{is_eigen_pair op } (f_2, z_2) \\ &\quad \Rightarrow \text{are_orthogonal inprod } f_1 f_2 \end{aligned}$$

Outline

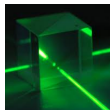
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Quantum Beam Splitter



- Beam splitter = four-port optical device:
 - ▶ Two inputs = light beams.
 - ▶ Two outputs = light beams.

Quantum Beam Splitter



- Beam splitter = four-port optical device:
 - ▶ Two inputs = light beams.
 - ▶ Two outputs = light beams.
- In quantum optics:
 - ▶ Light = stream of photons.
 - ▶ Stream of photons = quantum single-mode electromagnetic field.

Single-Mode Formalization

- A single-mode emf is characterized by:
 - ▶ Its electrical charge \hat{q} .
 - ▶ Its flux density \hat{p} .
 - ▶ Its total energy: $\hat{H}(t) = \frac{\omega^2}{2}\hat{q}(t)^2 + \frac{1}{2}\hat{p}(t)^2$.

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Definition

$\text{is_sm } ((qs, cs, H), \omega : \text{sm}) \Leftrightarrow$
 $\text{is_sys } (qs, [p, q], H) \wedge 0 < \omega \wedge$
 $H = \frac{\omega^2}{2} \% (q ** q) + \frac{1}{2} \% (p ** p)$

Beam Splitter Formalization

Beam splitter relates q or p of inputs, and respective outputs as follows:

$$\begin{pmatrix} q_{out_1} \\ q_{out_2} \end{pmatrix} = \begin{pmatrix} b_1 & b_2 \\ b_3 & b_4 \end{pmatrix} * \begin{pmatrix} q_{in_1} \\ q_{in_2} \end{pmatrix}$$

+ similar for p

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+ similar for p

Definition (Beam Splitter)

```
is_bmsp (b1, b2, b3, b4, in_port1, in_port2, out_port1, out_port2) ⇔
is_sm in_port1 ∧ is_sm in_port2
∧ is_sm out_port1 ∧ is_sm out_port2
```

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Definition (Beam Splitter)

```
is_bmsp (b1, b2, b3, b4, in_port1, in_port2, out_port1, out_port2) ⇔
is_sm in_port1 ∧ is_sm in_port2
∧ is_sm out_port1 ∧ is_sm out_port2
∧ pout1 = b1 % pin1 + b2 % pin2 ∧ qout1 = b1 % qin1 + b2 % qin2
∧ pout2 = b3 % pin1 + b4 % pin2 ∧ qout2 = b3 % qin1 + b4 % qin2
```


Beam Splitter Energy Preservation

Main result:

Theorem (Energy Preservation)

$$\forall \text{ bs. is_bmsp bs} \Rightarrow H_{\text{in}_1} + H_{\text{in}_2} = H_{\text{out}_1} + H_{\text{out}_2}$$

(note: H is the Hamiltonian, i.e. energy)

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Conclusion

- HOL Formalization of complex function spaces.
- Formalization of related concepts: linearity, inner products,...
- **Application-oriented formalization**, useful for engineering verification.
- Application to quantum theory, prove beam splitter energy preservation.
- Around 1000 lines of code with 160 theorems
→ big code size reduction thanks to automation

Future Work

- Instantiation to finite-dimension complex vectors
→ applications in electromagnetics and ray optics
- Advanced formalization of quantum optics
→ quantum computers



Faculty of Engineering and Computer Science

<http://hvg.ece.concordia.ca>

Thanks!

Questions?

PS: Still looking for a job in Germany... :-)

Eigenvalues & Eigenvectors

Definition (Eigen pair)

$\text{is_eigen_pair } (\text{op} : \text{cop}) (f, v) \Leftrightarrow$
 $\text{is_linear_cop } \text{op} \Rightarrow \text{op } f = v \% f \wedge f \neq \text{zerofun}$

→ very useful in applications

Theorem (Subspace of eigenvectors)

$\forall \text{op. is_linear_cop } \text{op} \Rightarrow$
 $\forall z. \text{is_subspace}$
 $(\{ f \mid \text{is_eigen_pair } \text{op } (f, z) \} \cup \{ \text{cfun_zero} \})$

Orthogonality

Definition (Orthogonality)

`are_orthogonal inprod u v` \Leftrightarrow

`is_inprod inprod` \Rightarrow `inprod u v` = `Cx(0)`

Orthogonality

Definition (Orthogonality)

are_orthogonal inprod u v \Leftrightarrow
 is_inprod inprod \Rightarrow inprod u v = 0

Many theorems, notably:

Theorem (Pythagorean Theorem)

\forall inprod u v. is_inprod inprod \wedge are_orthogonal inprod u v \Rightarrow
 inprod (u + v) (u + v) = inprod u u + inprod v v

Orthogonality

Definition (Orthogonality)

are_orthogonal inprod u v \Leftrightarrow
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Many theorems, notably:

Theorem (Pythagorean Theorem)

\forall inprod u v. is_inprod inprod \wedge are_orthogonal inprod u v \Rightarrow
 inprod (u + v) (u + v) = inprod u u + inprod v v

Theorem (Cauchy-Schwarz inequality)

\forall x y inprod. is_inprod inprod \Rightarrow
 norm (inprod x y) \leq
 real_of_complex (inprod x x) * real_of_complex (inprod y y)

Hermitian adjoint

Definition (Hermitian)

```

is_hermitian op1 op2 inprod ⇔
  is_inprod inprod ⇒
    is_linear_cop op1 ∧ is_linear_cop op2 ∧
    ∀ x y. inprod x (op1 y) = inprod (op2 x) y

```

Note: in finite dimension, hermitian operation = matrix conjugate transpose.

Hermitian adjoint

Definition (Hermitian)

`is_hermitian op1 op2 inprod` \Leftrightarrow
`is_inprod inprod` \Rightarrow
`is_linear_cop op1` \wedge `is_linear_cop op2` \wedge
 $\forall x y. \text{inprod } x (\text{op}_1 y) = \text{inprod } (\text{op}_2 x) y$

Note: in finite dimension, hermitian operation = matrix conjugate transpose.

In general, the existence of an adjoint is not ensured
 BUT, if it exists, it is unique:

Theorem (Unicity of hermitian)

$\forall \text{op}_1 \text{op}_2 \text{op}_3 \text{ inprod.}$
`is_hermitian op1 op2 inprod` \wedge `is_hermitian op1 op3 inprod`
 $\Rightarrow \text{op}_2 = \text{op}_3$

Self-Adjoint

Definition

`is_self_adjoint op inprod \Leftrightarrow is_hermitian op op inprod`

Self-Adjoint

Definition

`is_self_adjoint op inprod \Leftrightarrow is_hermitian op op inprod`

Theorem

\forall inprod op x y.

`is_inprod inprod \wedge is_linear_op op \wedge`

`inprod (op x) y = -(inprod x (op y)))`

\Rightarrow `is_self_adjoint (ii % op) inprod`

Self-Adjoint

Definition

`is_self_adjoint op inprod` \Leftrightarrow `is_hermitian op op inprod`

Theorem

\forall inprod op x y.
`is_inprod inprod` \wedge `is_linear_op op` \wedge
`inprod (op x) y = -(inprod x (op y))`
 \Rightarrow `is_self_adjoint (ii % op) inprod`

Theorem

\forall inprod op. `is_inprod inprod` \wedge `is_self_adjoint op inprod` \Rightarrow
 $\forall z.$ `is_eigen_value op z` \Rightarrow `real z`

A Theorem Making Use of All Notions

Theorem

$\forall \text{ inprod op } f_1 f_2 z_1 z_2.$
 $\text{is_inprod inprod} \wedge$
 $\text{is_self_adjoint op inprod} \wedge z_1 \neq z_2 \wedge$
 $\text{is_eigen_pair op } (f_1, z_1) \wedge \text{is_eigen_pair op } (f_2, z_2)$
 $\Rightarrow \text{are_orthogonal inprod } f_1 f_2$